

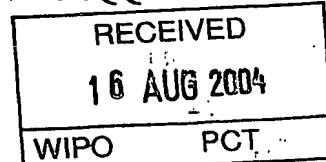


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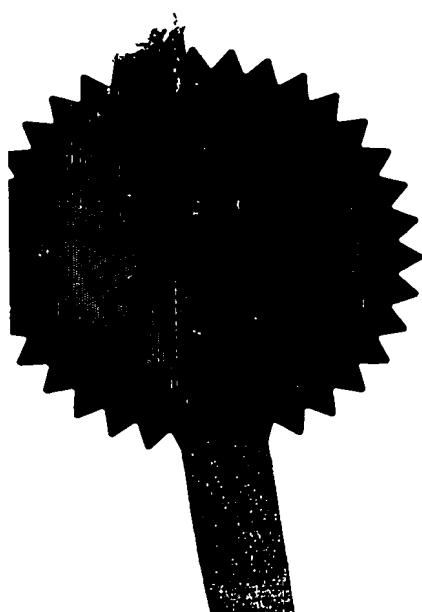
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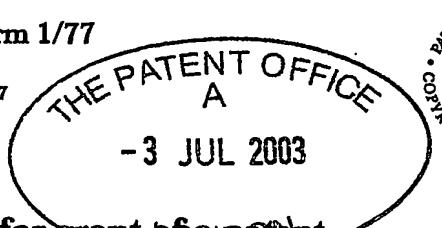
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1. Your reference	N.89167 PEJ		
2. Patent application number (The Patent Office will fill in this part)	0315656.9	- 3 JUL 2003	
3. Full name, address and postcode of the or of each applicant (underline all surnames)	OXONICA LTD UNIT 7, BEGBROKE SCIENCE & BUSINESS PARK SANDY LANE, YARNTON KIDLINGTON, OXFORDSHIRE, OX5 1PF		
Patents ADP number (if you know it)	8147845001		
If the applicant is a corporate body, give the country/state of its incorporation			
4. Title of the invention	METAL OXIDE FORMULATIONS		
5. Name of your agent (if you have one)	J.A. KEMP & CO.		
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	14 South Square Gray's Inn London WC1R 5JJ		
Patents ADP number (if you know it)	260001		
6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority application number (if you know it)	Date of filing (day / month / year)
7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application		Date of filing (day / month / year)
8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See note (d))	YES		

Patents Form 1/77

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Description	18
Claim(s)	4
Abstract	1

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Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

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Request for substantive examination (Patents Form 10/77)

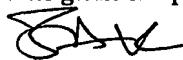
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11.

I/We request the grant of a patent on the basis of this application.

Signature



Date 3 JULY 2003

J.A. KEMP & CO.

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12. Name and daytime telephone number of person to contact in the United Kingdom

PATRICK ELLIS-JONES  
020 7405 3292

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## METAL OXIDE FORMULATIONS

The present invention relates to metal oxide formulations and especially UV screen compositions including those suitable for cosmetic and topical pharmaceutical use containing such oxides as well as polymeric compositions containing the same.

The effects associated with exposure to sunlight are well known. Thus exposure of the skin to UVA and UVB light may result in, for example, sunburn, premature ageing and skin cancer.

Commercial sunscreens generally contain components which are able to reflect and/or absorb UV light. These components include, for example, inorganic oxides such as zinc oxide and titanium dioxide as well as organic sunscreen agents.

Most organic sunscreen agents absorb light over only a part of the UVA-UVB spectrum with the result that if one is to obtain a screening effect covering the whole UVA-UVB spectrum it is generally necessary to use a combination of different organic sunscreen agents. Some organic sunscreen agents and other components of sunscreen compositions are stable to UV light but others are photosensitive and/or may after being excited by UV light degrade another ingredient of the composition.

Titanium dioxide and zinc oxide are generally formulated as "micronised" or "ultrafine" (20-50 nm) particles (so-called microreflectors) because particles whose size is less than 10% of the wavelength of the incident light scatter light according to Rayleigh's Law, whereby the intensity of scattered light is inversely proportional to the fourth power of the wavelength. Consequently, they scatter UVB light (with a wavelength of from 280 or 290 to 315/ 320 nm) and UVA light (with a wavelength of from 315/320 to 400 nm) more than the longer, visible wavelengths, preventing sunburn whilst remaining invisible on the skin.

However, titanium dioxide and zinc oxide also absorb UV light efficiently, leading via the initial formation of electron hole pairs to the formation of superoxide and hydroxyl radicals and which may in turn initiate damage to other components of the composition. The crystalline forms of  $TiO_2$ , anatase and rutile, are semiconductors with band gap energies of about 3.23 and 3.06 eV respectively, corresponding to light of about 385 nm and 400 nm (1 eV corresponds to  $8066\text{ cm}^{-1}$ ).

Thus these oxides while providing good wavelength cover can enhance the degradation of organic sunscreen agents, including UVA organic sunscreens, for example oxybenzone as well as cause degradation of other components of the formulation. Attempts have been made to reduce the adverse effects of TiO<sub>2</sub> and 5 ZnO by coating, but coatings are not invariably effective.

It has now surprisingly been found, according to the present invention, that the degradation of any compound which is adversely affected by TiO<sub>2</sub> and/or ZnO, and especially of organic sunscreen agents, can be retarded if the compositions containing them contain zinc oxide or titanium dioxide which has been doped with 10 another element and/or reduced zinc oxide in addition to, or instead of, the "ordinary" TiO<sub>2</sub> and/or ZnO. In other words by using, in a sunscreen composition etc., these doped or reduced materials rather than ordinary titanium dioxide or zinc oxide alone it is, for example, possible either to provide a composition which gives better protection against UV light for the same quantity of organic sunscreen agent or a 15 composition having the same screening effect against UV light but containing a smaller quantity of organic sunscreen agent. Indeed it is possible to provide all day protection sunscreens by incorporating the doped and/or reduced materials.

Accordingly the present invention provides a composition which comprises at least one ingredient which is adversely affected by the presence of TiO<sub>2</sub> and/or ZnO 20 (usually of course the adverse effect will be brought about by UV light in the presence of TiO<sub>2</sub> and/or ZnO), and TiO<sub>2</sub> and/or ZnO which has been doped with another element and/or reduced zinc oxide.

Whether or not an adverse effect occurs, and under what conditions, will in general be clear to the person skilled in the art in the given context. Whether or not 25 an effect is adverse, and the conditions that are relevant, might well be different for different products and for different end uses. For example, for sunscreens and other compositions for cosmetic and topical use on the body, one might be concerned with adverse effects to ingredients that arise when the composition is subjected for say 8 hours to UV light of a wavelength from 290 to 400 nm at an intensity corresponding 30 to midday Mediterranean sunlight, or say an intensity of 10 mW per square cm. and

in the presence of  $TiO_2$  and/or  $ZnO$ . In the case of compositions that are not used on the body, such as paints and coatings, adverse effects on ingredients that become apparent only after exposure of the composition over longer periods of time (for example one week, one month or one year), or under harsher conditions, might well 5 be of concern. In preferred embodiments we are concerned with ingredients that undergo any chemical change (generally a chemical change that renders the composition less functionally effective, or that reduces its useful life) when subjected to the conditions referred to above.

Components which are adversely affected by  $TiO_2$  and/or  $ZnO$  are those 10 which are sensitive to free radical attack. They may be intrinsically stable; this attack is related to the homolytic bond dissociation energy. Such components include large molecules such as polymers as well as small molecules such as those with ethylenic unsaturation or those which possess a labile hydrogen atom, for example a tertiary hydrogen atom or other labile species including chlorine. Free radical attack may 15 also break amide or ester linkages of small molecules or of large molecules such as polyamides or polyesters.

The presence of  $TiO_2$  or  $ZnO$  may result in a change in a physical property of the component. With a polymer this may be, for example, a change in tensile strength or elongation at break; while with a small molecule, the free radical attack 20 generally results in a change in its chemical structure which gives rise to a change in physical properties such as melting point, boiling point, viscosity, a change in its functional character or, in some cases, toxicity. All these changes can, of course, be measured as one skilled in the art would appreciate.

The present invention has particular applicability for UV sunscreen 25 compositions suitable for cosmetic or pharmaceutical use. By "UV sunscreen composition suitable for cosmetic or topical pharmaceutical use" is meant any cosmetic or topical pharmaceutical composition having UV sunscreen activity i.e. it includes compositions whose principal function may not be sun screening. It will be appreciated that the doped  $TiO_2/ZnO$  or reduced  $ZnO$  may be the only ingredient of 30 the composition having UV sun screening activity i.e. the composition need not

necessarily contain an organic UV sunscreen agent. However the composition will contain an ingredient which is adversely affected by  $TiO_2$  and/or  $ZnO$ . It is to be understood that the composition can also contain  $TiO_2$  and/or  $ZnO$  which has not been doped or reduced.

5        The organic component which may be degraded is generally a UV sunscreen agent. Certain components, typically organic sunscreen agents, when exposed to UV light, are substantially stable to this light but are degraded when exposed to UV light in the presence of titanium dioxide or zinc oxide. Accordingly a full spectrum sunscreen agent cannot be satisfactorily formulated using such a combination. If,  
10 however, a doped titanium dioxide or a doped or reduced zinc oxide is used (partially or completely) instead of ordinary  $TiO_2/ZnO$  the organic sunscreen agent is not degraded in the same way. Thus the present invention also provides a method of increasing the UV spectrum of a sunscreen formulation which comprises an organic sunscreen agent which is adversely affected by UV light in the presence of titanium  
15 dioxide and/or zinc oxide which comprises incorporating in the formulation doped  $TiO_2$  and/or doped or reduced  $ZnO$ , as well as a composition which comprises an organic sunscreen agent which is adversely affected by UV light (in the presence of undoped  $TiO_2$  and/or  $ZnO$ ) and doped  $TiO_2$  and/or doped or reduced  $ZnO$ .

20        In a preferred embodiment the composition has a rate of loss of UV absorption due to free radical attack derived from  $TiO_2$  and/or  $ZnO$  which is less, preferably at least 5% less, than that of a composition having the same formulation except that it does not contain the said  $TiO_2$  and/or  $ZnO$  which has been doped with another element or reduced zinc oxide. Thus if the rate of loss of UV absorption (during UV exposure) over at least a proportion of the UVA and/or UVB spectrum is  
25 X then the amount of the organic component(s) which is degraded possesses a said rate of loss of Y where Y is greater than X, preferably by at least 5%, and the amount of doped  $TiO_2$  and/or  $ZnO$  and/or reduced zinc oxide reduces the said rate of loss from Y to X. The present invention also provides the use of a doped  $TiO_2/ZnO$  or reduced zinc oxide to reduce the rate of loss in UV absorption of a sunscreen  
30 composition containing one or more organic UV sunscreen agents which are

adversely affected by  $\text{TiO}_2$  and/or  $\text{ZnO}$ . The present invention further provides a method of increasing the effectiveness (improving the stability) of an organic sun screening composition which comprises one or more components which are degraded by UV light in the presence of  $\text{TiO}_2$  and/or  $\text{ZnO}$  which comprises

5 incorporating into the composition a doped  $\text{TiO}_2/\text{ZnO}$  and/or reduced zinc oxide. Sometimes the degradation products (breakdown chemicals) are toxic. Accordingly, the present invention also provides a method of reducing the production of toxic compounds in a UV sunscreen composition which comprises incorporating therein a doped  $\text{TiO}_2/\text{ZnO}$  and/or reduced  $\text{ZnO}$ .

10 However, other organic components may also be susceptible to free radical attack, the degraded products that result potentially causing degradation of the UV sunscreen agent.

15 However, it should be noted that this principle may be applied to compositions other than cosmetics. Where there is present within the composition a specific organic component which is not degraded by UV light, but which is degraded by free radical attack when in contact with  $\text{TiO}_2$  and/or  $\text{ZnO}$  in the presence of UV light, the change in that organic component may be followed by determining a change in one or more physical properties of the composition. Techniques specific to the physical property may be used to follow the change. Such physical properties

20 may include viscosity, melting range and boiling range.

25 The rate of loss of absorption can be determined by illuminating a sample of the composition with and without the doped  $\text{TiO}_2$  and/or  $\text{ZnO}$  of defined thickness with UV light of the appropriate wavelength, and determining the absorption of UV light by the composition over a given period, typically 60 minutes, obtaining a plot over that period for the wavelengths in question and determining the area under the curve, from which the rate of loss can be calculated. Clearly the smaller the area under the curve the smaller the loss. For UVA absorption wavelengths from 320 to 400, especially from 340 to 390 nm, are considered.

30 While any reduction in the loss of UV absorption is an advantage, it is generally desirable that the presence of the doped oxide should reduce the rate of UV

absorption by an amount of at least a 5%, preferably at least 10%, more preferably at least 15%, especially at least 20% and most preferably at least 40%.

The compositions of the present invention for cosmetics use may be, for example, lipsticks, skin anti-ageing compositions in the form of, for example, 5 creams, including anti-wrinkle formulations, exfoliating preparations including scrubs, creams and lotions, skin lightening compositions in the form of, for example, face powders and creams, preparations for the hands including creams and lotions, moisturising preparations, compositions for protecting the hair such as conditioners, shampoos and hair lacquers as well as hair masks and gels, skin cleansing 10 compositions including wipes, lotions and gels, eye shadow and blushers, skin toners and serums as well as washing products such as shower gels, bath products including bubble baths, bath oils, but, preferably, sunscreens. In this connection we should point out that the expression "cosmetic UV sunscreen composition", as used herein, includes any composition applied to the skin which may leave a residue on the skin 15 such as some washing products. Compositions of the present invention may be employed as any conventional formulation providing protection from UV light. The composition may also be a pharmaceutical composition suitable for topical application. Such compositions are useful, in particular, for patients suffering from disorders of the skin which are adversely affected by UV light such those giving rise 20 to polymorphous light eruptions.

Organic sunscreen agents which can be used in the compositions of the present invention include any conventional sunscreen agent which gives protection against UV light while if there is no other component which is degraded by TiO<sub>2</sub>, and/or ZnO the sunscreen agent is itself degraded by TiO<sub>2</sub> and/or ZnO. Suitable 25 sunscreen agents are listed in the IARC Handbook of Cancer Prevention, vol. 5, Sunscreens, published by the International Agency for Research on Cancer, Lyon, 2001 and include:

(a) Para-aminobenzoic acids (PABA), (UVB absorbers) esters and derivatives thereof, for example amyldimethyl-; ethyldihydroxypropyl-; ethylhexyl dimethyl-; ethyl-; glyceryl-; and 4-

bis-(polyethoxy)- PABA.

5 (b) Cinnamates (UVB) especially esters including methyl cinnamate esters and methoxycinnamate esters such as octylmethoxy cinnamate, ethyl methoxycinnamate, especially 2-ethylhexyl para-methoxycinnamate, isoamyl p-methoxy cinnamate, or a mixture thereof with diisopropyl cinnamate, 2-ethoxyethyl -4-methoxycinnamate, DEA-methoxycinnamate (diethanolamine salt of para-methoxy hydroxycinnamate) or  $\alpha,\beta$ -di-(para-methoxycinnamoyl)- $\alpha'$ -(2-ethylhexanoyl)-glycerin, as well as diisopropyl methylcinnamate;

10 (c) benzophenones (UVA) such as 2,4-dihydroxy-; 2-hydroxy-4-methoxy; 2,2'-dihydroxy-4,4'-dimethoxy-; 2,2'-dihydroxy-4-methoxy-; 2,2',4,4'-tetrahydroxy-; and 2-hydroxy-4-methoxy-4'-methyl-benzophenones, benzenesulphonic acid and its sodium salt; sodium 2,2'-dihydroxy-4,4'-dimethoxy-5-sulphobenzophenone and oxybenzone;

15 (d) dibenzoylmethanes (UVA) such as butyl methoxydibenzoyl methane, especially 4-tert-butyl-4'methoxydibenzoylmethane;

(e) 2-phenylbenzimidazole-5 sulfonic acid UVB and phenyldibenzimidazole sulfonic acid and their salts;

(f) 20 alkyl- $\beta,\beta$ -diphenylacrylates (UVB) for example alkyl  $\alpha$ -cyano- $\beta,\beta$ -diphenylacrylates such as octocrylene;

(g) triazines (UVB) such as 2,4,6-trianilino-(p-carbo-2-ethyl-hexyl-1-oxy)-1,3,5 triazine as well as octyl triazone e.g. ethylhexyltriazone and diethylhexyl butamido trizone.

25 (h) camphor derivatives (generally UVB) such as 4-methylbenzylidene and 3-benzylidene- camphor and terephthalylidene dicamphor sulphonic acid (UVA), benzylidene camphor sulphonic acid, camphor benzalkonium methosulphate and polyacrylamidomethyl benzylidene camphor;

(i) 30 organic pigment sunscreening agents such as methylene bis-benzotriazole tetramethyl butylphenol;

- (j) silicone based sunscreening agents such as dimethicodiethyl benzal malonate.
- (k) salicylates (UVB) such as dipropylene glycol-; ethylene glycol-, ethylhexyl-, isopropylbenzyl-, methyl-, phenyl-, 3,3,5-trimethyl- and 5 TEA-salicylate (compound of 2-hydroxybenzoic acid and 2,2'-nitrilotris (ethanol));
- (l) anthranilates (UVA) such as methyl anthranilate as well as bisimidazylate (UVA), dialkyl trioleate (UVB), 5-methyl-2-phenylbenzoxazole (UVB) and urocanic acid (UVB).

10 Some compounds are effective for both UVA and UVB. These include anisotriazine, methylene bisbenzotriazolyl tetramethylbutyl- phenol and drometrizole trisiloxane (Mexoryl XL).

15 The organic sunscreen agent(s) are typically present in the compositions at a concentration from 0.1 to 20%, preferably 1 to 10%, and especially 2 to 5%, by weight based on the weight of the composition.

In the compositions, which are generally aqueous, the metal oxides are preferably present at a concentration of about 0.5 to 20 % by weight, preferably about 1 to 10 % by weight and more preferably about 3 to 8 % by weight, in particular about 4 to 7%, such as 4 to 6% for example about 5%, by weight.

20 The compositions may be in the form of, for example, lotions, typically with a viscosity of 4000 to 10,000 mPas, e.g. thickened lotions, gels, vesicular dispersions, creams, typically a fluid cream with a viscosity of 10,000 to 20,000 mPas or a cream of viscosity 20,000 to 100,000 mPas, milks, powders, solid sticks, and may be optionally packaged as aerosols and provided in the form of foams or sprays.

25 The compositions may contain any of the ingredients used in such formulations including fatty substances, organic solvents, silicones, thickeners, liquid and solid emollients, demulcents, other UVA, UVB or broad-band sunscreen agents, antifoaming agents, antioxidants such as butyl hydroxy toluene, buffers such as lactic acid with a base such as triethanolamine or sodium hydroxide, plant extracts such as 30 Aloe vera, cornflower, witch hazel, elderflower and cucumber, activity enhancers,

moisturizing agents, and humectants such as glycerol, sorbitol, 2-pyrrolidone-5-carboxylate, dibutylphthalate, gelatin and polyethylene glycol, perfumes, preservatives, such as para-hydroxy benzoate esters, surface-active agents, fillers and thickeners, sequestrants, anionic, cationic, nonionic or amphoteric polymers or

5 mixtures thereof, propellants, alkalinizing or acidifying agents, colorants and powders, including metal oxide pigments with a particle size of from 100 nm to 20000 nm such as iron oxides along with conventional (undoped)  $\text{TiO}_2$  and  $\text{ZnO}$ .

Other ingredients of cosmetic compositions, for example some surface-active agents may have the effect of degrading certain sunscreen agents in the presence of

10 UV light. Also  $\text{TiO}_2$  and  $\text{ZnO}$  are known to degrade certain organic sunscreens such as oxybenzone as well as antioxidants such as vitamins e.g. vitamins A, B, C and E, and also anti-ageing factors such as niacinamide, retinoids and coenzyme MEQ10 etc. It will be appreciated that it is particularly useful to use the doped  $\text{TiO}_2$  and/or  $\text{ZnO}$  and/or reduced  $\text{ZnO}$  with such sunscreens. This is because  $\text{TiO}_2$  and  $\text{ZnO}$  do

15 generally have a positive UV absorptive effect. Thus by using the doped  $\text{TiO}_2$  and/or  $\text{ZnO}$  and/or reduced  $\text{ZnO}$  it may be possible to use less antioxidant or make the formulation longer lasting.

The organic solvents are typically selected from lower alcohols and polyols such as ethanol, isopropanol, propylene glycol, glycerin and sorbitol as well as

20 methylene chloride, acetone, ethylene glycol monoethyl ether, diethylene glycol monobutyl ether, diethylene glycol mono-ethyl, ether, dimethyl sulphoxide, dimethyl formamide and tetrahydrofuran.

The fatty substances may consist of an oil or wax or mixture thereof, fatty acids, fatty acid esters, fatty alcohols, vaseline, paraffin, lanolin, hydrogenated

25 lanolin or acetylated lanolin, beeswax, ozokerite wax and paraffin wax.

The oils are typically selected from animal, vegetable, mineral or synthetic oils and especially hydrogenated palm oil, hydrogenated castor oil, vaseline oil, paraffin oil, Purcellin oil, silicone oil such as polydimethyl siloxanes and isoparaffin.

The waxes are typically animal, fossil, vegetable, mineral or synthetic waxes.

30 Such waxes include beeswax, Carnauba, Candelilla, sugar cane or Japan waxes,

ozokerites, Montan wax, microcrystalline waxes, paraffins or silicone waxes and resins.

The fatty acid esters are, for example, isopropyl myristate, isopropyl adipate, isopropyl palmitate, octyl palmitate, C<sub>12</sub>-C<sub>15</sub> fatty alcohol benzoates ("FINSOLV TN" 5 from FINETEX), oxypropylenated myristic alcohol containing 3 moles of propylene oxide ("WITCONOL APM" from WITCO), capric and caprylic acid triglycerides ("MIGLYOL 812" from HULS).

The compositions may also contain thickeners such as cross-linked or non cross-linked acrylic acid polymers, and particularly polyacrylic acids which are cross-linked using a polyfunctional agent, such as the products sold under the name 10 "CARBOPOL" by the company GOODRICH, cellulose, derivatives such as methylcellulose, hydroxymethylcellulose, hydroxypropyl methylcellulose, sodium salts of carboxymethyl cellulose, or mixtures of cetylstearyl alcohol and oxyethylenated cetylstearyl alcohol containing 33 moles of ethylene oxide.

15 Desirably, the weight ratio of water-dispersible titanium dioxide to oil-dispersible titanium dioxide is from 1:4 to 4:1, preferably from 1:2 to 2:1 and ideally about equal weight proportions.

Suitable emollients include stearyl alcohol, glyceryl monoricinoleate, mink oil, cetyl alcohol, isopropyl isostearate, stearic acid, isobutyl palmitate, isocetyl 20 stearate, oleyl alcohol, isopropyl laurate, hexyl laurate, decyl oleate, octadecan-2-ol, isocetyl alcohol, eicosanyl alcohol behenyl alcohol, cetyl palmitate, silicone oils such as dimethylpolysiloxane, di-n-butyl sebacate, isopropyl myristate, isopropyl palmitate, isopropyl stearate, butyl stearate, polyethylene glycol, triethylene glycol, lanolin, cocoa butter, corn oil, cotton seed oil, olive oil, palm kernel oil, rapeseed oil, 25 safflower seed oil, evening primrose oil, soybean oil, sunflower seed oil, avocado oil, sesame seed oil, coconut oil, arachis oil, caster oil, acetylated lanolin alcohols, petroleum jelly, mineral oil, butyl myristate, isostearic acid, palmitic acid, isopropyl linoleate, lauryl lactate, myristyl lactate, decyl oleate, myristyl myristate.

Suitable propellants include propane, butane, isobutane, dimethyl ether, 30 carbon dioxide, nitrous oxide.

Suitable powders include chalk, talc, fullers earth, kaolin, starch, gums, colloidal silica sodium polyacrylate, tetra alkyl and/or trialkyl aryl ammonium smectites, chemically modified magnesium aluminium silicate, organically modified montmorillonite clay, hydrated aluminium silicate, fumed silica, carboxyvinyl polymer, sodium carboxymethyl cellulose, ethylene glycol monostearate.

When the compositions of the present invention are sunscreens they may be in the form of, for example, suspensions or dispersions in solvents or fatty substances or as emulsions such as creams or milks, in the form of ointments, gels, solid sticks or aerosol foams. The emulsions, which can be oil-in-water or water-in-oil emulsions, may further contain an emulsifier including anionic, nonionic, cationic or amphoteric surface-active agents; for a water-in-oil emulsion the HLB is typically from 1 to 6 while a larger value i.e >6 is desirable for an oil-in-water emulsion. Generally water amounts to up to 80%, typically 5 to 80%, by volume. Specific emulsifiers which can be used include sorbitan trioleate, sorbitan tristearate, glycerol monooleate, glycerol monostearate, glycerol monolaurate, sorbitan sesquioleate, sorbitan monooleate, sorbitan monostearate, polyoxyethylene (2) stearyl ether, polyoxyethylene sorbitol beeswax derivative, PEG 200 dilaurate, sorbitan monopalmitate, polyoxyethylen (3.5) nonyl phenol, PEG 200 monostearate, sorbitan monostearate, sorbitan monolaurate, PEG 400 dioleate, polyoxyethylene (5) monostearate, polyoxyethyene (4) sorbitan monostearate, polyoxyethylene (4) lauryl ether, polyoxyethylene (5) sorbitan monooleate, PEG 300 monooleate, polyoxyethylene (20) sorbitan tristearate, polyoxyethylene (20) sorbitan trioleate, polyoxyethylene (8) monostearate, PEG 400 monooleate, PEG 400 monostearate, polyoxyethylene (10) monooleate, polyoxyethylene (10) stearyl ether, polyoxyethylene (10) cetyl ether, polyoxyethylene (9.3) octyl phenol, polyoxyethylene (4) sorbitan monolaurate, PEG 600 monooleate, PEG 1000 dilaurate, polyoxyethylene sorbitol lanolin derivative, polyoxyethylene (12) lauryl ether, PEG 1500 dioleate, polyoxyethylene (14) laurate, polyoxyethylene (20) sorbitan monostearate, polyoxyethylene (20) sorbitan monooleate, polyoxyethylene (20) stearyl ether, polyoxyethylene (20) sorbitan monopalmitate, polyoxyethylene (20) cetyl ether, polyoxyethylene (25) oxypropylene monostearate, polyoxyethylene

(20) sorbitol monolaurate, polyoxyethylene (23) lauryl ether, polyoxyethylene (50) monostearate, and PEG 4000 monostearate. Alternatively the emulsifier can be 5 silicone surfactant, especially a dimethyl polysiloxane with polyoxyethylene and/or polyoxypropylene side chains, typically with a molecular weight of 10,000 to 50,000, especially cyclo-methicone and dimethicone copolyol. They may also be provided in the form of vesicular dispersions of ionic or nonionic amphiphilic lipids prepared according to known processes.

It can be advantageous to use both a water-dispersible and an oil-dispersible 10 titanium dioxide or zinc oxide, at least one of which is doped or, in the case of zinc oxide, reduced. It has been found that when an emulsion is spread on the skin it has a tendency to break down into oily and non-oily areas. When the water evaporates 15 the oil-dispersible particles will tend to be in the oily areas thus leaving areas unprotected. This can be avoided by having both hydrophilic and hydrophobic particles in the emulsion so that some are retained in hydrophilic areas and others in hydrophobic areas.

Water-dispersible particles can be uncoated or coated with a material to 20 impart a hydrophilic surface property to the particles. Examples of such materials include aluminium oxide and aluminum silicate. Oil-dispersible particles which exhibit a hydrophobic surface property, are suitably coated with metal soaps such as aluminium stearate, aluminium laurate or zinc stearate, or with organosilicone 25 compounds.

Although the present invention has particular utility for UV sunscreen compositions which may contain  $TiO_2$  and/or  $ZnO$ , it extends to all compositions which may contain  $TiO_2$  and/or  $ZnO$ . Thus the present invention also has 25 applicability for polymeric compositions. By "a polymeric composition" as used herein is meant a composition which comprises one or more polymeric materials. The composition can be solid or liquid.

In some instances, the composition of the present invention will contain  $TiO_2$ , and/or  $ZnO$  which has not been doped or, in the case of  $ZnO$ , reduced. Typically 30 such undoped  $TiO_2/ZnO$  will be present as pigment, generally having a particle size

of at least 100 nm.

Typical solid materials include polymeric solids including three dimensional objects, films and fibres as well as textiles and fabrics e.g. clothing and netting made from woven and non-woven fibres as well as foamed articles. Three-dimensional  
5 objects include those made by melt-forming processes including extruded and moulded articles. Typical articles to which the present invention may be applied include generally external household and building materials including blinds and plastics curtains, trellis, pipes and guttering, cladding and facings such as soffit board and plastics roofing material which can be profiled as with corrugated sheeting, doors  
10 and windows frames. Other articles include advertising hoardings and the like e.g. advertising boards on vehicle sides as well as vehicle bodies and body parts including bumpers for cars, buses and trucks as well as roofs which can be used also for boats, as well as superstructures and hulls for boats and also bodies for lawnmowers and tractors and yachts, along with containers such as bottles, cans, drums, buckets and  
15 oil and water storage containers. Other objects include garden furniture.

Films to which the present invention can be applied include self supporting as well as non-self supporting films such as coatings. Self-supporting films to which the present invention applies include photographic films, packaging film and plastic film bearing indicia, typically as advertising film, which can also be applied over  
20 advertising hoardings. Such films can contain one or more customary ingredients for such products. Thus photographic film will contain one or more dyes or dye couplers and, optionally, a silver halide.

Coating compositions are typically paints and varnishes which contain a polymer either as the active ingredient as in some varnishes or as a support as in  
25 paints along with furniture polishes, waxes and creams; they can be aqueous or non aqueous i.e. contain an organic solvent. This coating composition can be in the form of a waterproofing agent. These coating compositions can contain one or more customary ingredients for such products.

The polymers which can be used in the compositions of the present invention  
30 include natural and synthetic polymers which may be thermoplastic or thermosetting.

The suitable polymers which may be homopolymers or copolymers which can be random, block or graft copolymers; the polymers can be crosslinked. Such polymers may be saturated or unsaturated. Typical polymers include alkylene polymers such as ethylene and propylene polymers, typically homopolymers,

5 including polyethylene foams, including PTFE, siloxane and sulphide polymers, polyamides such as nylon, polyesters, acrylate and methacrylate polymers e.g. poly(methyl methacrylate) as well as PET, polyurethanes, including foams, vinyl polymers such as styrene polymers e.g. ABS, including polystyrene foam vinyl chloride polymers and polyvinyl alcohol. Fluorinated polymers such as PTFE and

10 polyvinylidene fluoride can be used. The polymers can be thermosetting as with epoxy resins as well as phenolic, urea, melamine and polyester resins

Natural polymers which can be used include cellulosic polymers, as in paper including starch, polysaccharides, lignins, and polyisoprenes such as natural rubbers.

Typical polymers for different applications include the following: (a)

15 polyester, polyamide e.g. nylon, acrylics for fibres and fabrics; (b) polyester, polyvinyl chloride, polyethylene, polypropylene for bottles and the like; (c) polyethylene, polypropylene, polyvinyl chloride for film (non active such as packaging).

The polymeric compositions can contain the usual additional ingredients

20 characteristic for the composition in question including inorganic and organic pigments, including "ordinary"  $TiO_2$  and/or  $ZnO$ , fillers and extenders as well as light stabilisers, typically hindered amine stabilisers. The additional ingredients may themselves be susceptible to attack, with the degraded components potentially causing degradation of the polymer or of other components of the composition.

25 By a "physical factor" is meant a measurable value of a physical property of the composition which is adversely affected by UV light. Examples of physical properties which may be adversely affected by  $TiO_2$  and/or  $ZnO$  induced free radical attack include degradation and, in consequence, strength, colour change e.g. for paints and textiles and photographic stability e.g. for photographic films.

30 Thus if the rate of deterioration of a physical factor is X then the amount of

the component(s) which is degraded possesses a said rate of deterioration of Y where Y is greater than X, preferably by at least 5%, and the amount of doped  $\text{TiO}_2$  and/or  $\text{ZnO}$  and/or reduced  $\text{ZnO}$  reduces the said rate of loss from Y to X. The present invention also provides the use of a doped  $\text{TiO}_2/\text{ZnO}$  and/or reduced  $\text{ZnO}$  to reduce  
5 the rate of deterioration of a physical property of a polymeric composition or of an ingredient thereof. The present invention further provides a method of improving the stability of a physical property of a composition which comprises one or more components which are degraded by  $\text{TiO}_2$  or  $\text{ZnO}$  or of such a component which comprises incorporating into the composition a doped  $\text{TiO}_2/\text{ZnO}$  and/or reduced  
10  $\text{ZnO}$ .

The rate of colour change can be determined by illuminating a sample of the composition with and without the doped  $\text{TiO}_2$  or  $\text{ZnO}$  or reduced  $\text{ZnO}$  with sunlight or visible light and measuring the spectral response of the composition over a given period and determining the change in wavelength emitted. Accelerated ageing tests  
15 using, for example a Fadeometer, can be used for this purpose.

The rate of loss of strength of an article of the present invention can be determined in a similar manner by measuring tensile properties such as the elongation at break or Young's modulus using standard equipment such as an Instron tester; again an accelerated ageing procedure is beneficial.

20 While any reduction in the wavelength change or other physical factor is an advantage, it is generally desirable that the presence of the doped oxide should reduce the rate of change by an amount of at least 5%, preferably at least 10%, more preferably at least 15%, especially at least 20% and most preferably at least 40%.

In the polymeric compositions the metal oxides are preferably present at a  
25 concentration of about 0.5 to 20 % by weight, preferably about 1 to 10 % by weight and more preferably about 3 to 8 % by weight.

The dopant for the oxide particles is preferably manganese, which is especially preferred, e.g.  $\text{Mn}^{2+}$  but especially  $\text{Mn}^{3+}$ , vanadium, for example  $\text{V}^{3+}$  or  $\text{V}^{5+}$ , chromium and iron but other metals which can be used include nickel, copper, tin,  
30 aluminium, lead, silver, zirconium, zinc, cobalt, gallium, niobium, for example  $\text{Nb}^{5+}$ ,

antimony, for example  $Sb^{3+}$ , tantalum, for example  $Ta^{5+}$ , strontium, calcium, magnesium, barium, molybdenum, for example  $Mo^{3+}$ ,  $Mo^{5+}$  or  $Mo^{6+}$  as well as silicon. Manganese is preferably present as  $Mn^{3+}$ , cobalt as  $Co^{2+}$ , tin as  $Sn^{4+}$  as well as  $Mn^{2+}$ . These metals can be incorporated singly or in combination of 2 or 3 or 5 more. Further details of these doped oxides can be found in WO99/60994 as well as WO01/40114.

The optimum amount of the second component in the host lattice may be determined by routine experimentation but it is preferably low enough so that the particles are not coloured. Amounts as low as 0.1 mole % or less, for example 0.05 10 mole %, or as high as 1 mole % or above, for example 5 mole % or 10 mole %, can generally be used. Typical concentrations are from 0.5 to 2 mole % by weight.

These particles can be obtained by any one of the standard processes for preparing doped oxides and salts. Thus they can be obtained by a baking technique by combining particles of a host lattice ( $TiO_2/ZnO$ ) with a second component in the 15 form of a salt such as a chloride or an oxygen-containing anion such as a perchlorate or a nitrate, in solution or suspension, typically in solution in water, and then baking it, typically at a temperature of at least 300°C. Other routes which may be used to prepare the doped materials include a precipitation process of the type described in J. Mat. Sci. (1997) 36, 6001-6008 where solutions of the dopant salt and of an alkoxide 20 of the host metal (Ti/Zn) are mixed, and the mixed solution is then heated to convert the alkoxide to the oxide. Heating is continued until a precipitate of the doped material is obtained. Further details of preparation can be found in the aforesaid patent specifications.

The rutile form of titania is known to be more photostable than the anatase 25 form and is therefore preferred.

Reduced zinc oxide particles (i.e. particles which possess an excess of zinc ions relative to the oxygen ions) may be readily obtained by heating zinc oxide particles in a reducing atmosphere to obtain reduced zinc oxide particles which absorb UV light, especially UV light having a wavelength below 390 nm, and re-emit 30 in the green, preferably at about 500 nm. It will be understood that the reduced zinc

oxide particles will contain reduced zinc oxide consistent with minimising migration to the surface of the particles of electrons and/or positively charged holes such that when said particles are exposed to UV light in an aqueous environment the production of hydroxyl radicals is substantially reduced as discussed above.

5        The reducing atmosphere can be air with a reduced oxygen content or an increased hydrogen content but is preferably a mixture of hydrogen and an inert gas such as nitrogen or argon. Typically the concentration of hydrogen is from 1 to 20%, especially 5 to 15%, by volume, with the balance inert gas, especially nitrogen. A preferred reducing atmosphere is about 10% hydrogen and about 90% nitrogen by 10 volume. The zinc oxide is heated in this atmosphere at, say, 500° to 1000°C, generally 750 to 850°C, for example about 800°C, for 5 to 60 minutes, generally 10 to 30 minutes. Typically it is heated to about 800°C for about 20 minutes.

15      It is believed that the reduced zinc oxide particles possess an excess of  $Zn^{2+}$  ions within the absorbing core. These are localised states and as such may exist within the band gap. A further discussion of this can be found in WO 99/60994.

20      The average primary particle size of the particles is generally from about 1 to 200 nm, for example about 1 to 150 nm, preferably from about 1 to 100 nm, more preferably from about 1 to 50 nm and most preferably from about 20 to 50 nm. The particle size is preferably chosen to avoid colouration of the final product. Thus 25 nanoparticles are frequently used. However, in one embodiment slightly larger particles for example from 100 to 500 nm, typically 100 to 400 or 450 nm especially from 150 to 300 nm and particularly 200 to 250 nm, can be employed. These provide good coverage of, for example, skin imperfections without unacceptable skin whitening.

25      Where particles are substantially spherical then particle size will be taken to represent the diameter. However, the invention also encompasses particles which are non-spherical and in such cases the particle size refers to the largest dimension.

30      The oxide particles used in the present invention may have an inorganic or organic coating. For example, the particles may be coated with oxides of elements such as aluminium, zirconium or silicon, especially silica. The particles of metal

oxide may also be coated with one or more organic materials such as polyols, amines, alkanolamines, polymeric organic silicon compounds, for example, RSi[ $\{\text{OSi}(\text{Me})_2\}x\text{OR}^1$ ]<sub>3</sub> where R is C<sub>1</sub>-C<sub>10</sub> alkyl, R<sup>1</sup> is methyl or ethyl and x is an integer of from 4 to 12, hydrophilic polymers such as polyacrylamide, polyacrylic acid, carboxymethyl cellulose and xanthan gum or surfactants such as, for example, 5 TOPO. Such coatings can have the effect of masking, at least to some extent, any colour which the doped particles may have.

**CLAIMS**

1. A composition which comprises an ingredient which is adversely affected by UV light in the presence of TiO<sub>2</sub> and/or ZnO, and TiO<sub>2</sub> and/or ZnO which has been doped with another element and/or reduced ZnO.
- 5 2. A composition according to claim 1 which contains TiO<sub>2</sub> and/or ZnO which has not been doped or reduced.
3. A composition according to claim 1 or 2 wherein the dopant is manganese, vanadium, chromium or iron.
4. A composition according to claim 3 wherein the dopant is Mn<sup>3+</sup>.
- 10 5. A composition according to any one of the preceding claims wherein the dopant is present in an amount from 0.05% to 10 mole %.
6. A composition according to claim 5 wherein the dopant is present in an amount from 0.5 to 2 mole % by weight.
7. A composition according to any one of the preceding claims which 15 comprises doped titanium dioxide.
8. A composition according to any one of the preceding claims wherein the titanium dioxide is in rutile form.
9. A composition according to of claim 1 or 2 which comprises reduced zinc oxide.
- 20 10. A composition according to any one of the preceding claims wherein doped and/or undoped TiO<sub>2</sub> and/or ZnO therein is coated with inorganic or organic coating.
11. A composition according to any one of the preceding claims which comprises 0.5 to 20 mole % by weight of the doped TiO<sub>2</sub> or ZnO or reduced ZnO.
- 25 12. A composition according to any one of the preceding claims wherein the doped or reduced oxide has a particle size from 1 to 200 nm.
13. A composition according to any one of claims 1 to 11 wherein the doped or reduced oxide has a particle size from 100 to 500 nm.
14. A composition according to any one of the preceding claims which is 30 a UV sunscreen composition.

15. A composition according to any one of the preceding claims which is suitable for cosmetic use.

16. A composition according to claim 14 or 15 having a rate of loss of UV absorption at least 5% less than that of a composition having the same formulation  
5 except that it does not contain the said TiO<sub>2</sub> and/or ZnO which has been doped with another element or the said reduced zinc oxide.

17. A composition according to any one of the preceding claims which contains a UV sunscreen agent which is adversely affected by TiO<sub>2</sub> and/or ZnO.

18. A composition according to any one of claims 14 to 17 wherein the  
10 organic sunscreen agent is a paraaminobenzoic acid, ester or derivative thereof, a methoxy cinnamate ester, a benzophenone, a dibenzylomethane, an alkyl-β,β-phenyl acrylate, a triazine, a camphor derivative, an organic pigment, a silicone based sunscreen agent or 2-phenylbenzimidazoyl-5 sulphonic acid or phenyldibenzimidazoyl sulphonic acid.

15 19. A composition according to any one of claims 16 to 18 wherein the rate of change of the ratio of the loss of UVA absorption to the loss of UVB absorption is less than that of a composition of the same formulation except that the TiO<sub>2</sub> and /or ZnO present is not doped.

20 20. A composition according to claim 19 wherein the rate of change of the ratio is greater because the rate of loss of UVA absorption is reduced.

21. A composition according to any one of claims 14 to 20 which comprises 0.1% to 20% by weight of organic sunscreen agent(s).

22. A composition according to any one of claims 14 to 21 which contains one or more of a fatty substance, organic solvent, silicone, thickener, demulsant,  
25 UVB sunscreen agent, antifoaming agent, moisturising agent, perfume preservative, surface activation filler, sequestrant, anionic, cationic, nonionic or amphoteric polymer, propellant, alkalisng or acidifying agent, colorant, metal oxide pigment, vitamin, antioxidant, anti-ageing factor and stabilizer.

23. A composition according to any one of claims 14 to 22 which is a  
30 sunscreen.

24. A composition according to any one of claims 14 to 23 which is in the form of a lotion, gel, dispersion, cream, milk, powder or solid stick.

25. A composition according to claim 23 or 24 which comprises a water-dispersible and an oil-dispersible  $TiO_2$  and/or  $ZnO$ .

5 26. A composition according to any one of claims 1 to 13 which is a polymeric composition.

27. A composition according to claim 26 wherein the ingredient which is adversely affected by  $TiO_2$  and/or  $ZnO$  suffers a change in physical properties.

10 28. A composition according to claim 26 or 27 wherein the physical property is tensile strength.

29. A composition according to of claim 26 to 27 wherein the physical property is colour.

30. A composition according to any one of claims 26 to 29 wherein the polymeric material is thermoplastic.

15 31. A composition according to any one of claims 26 to 29 wherein the polymeric material is thermosetting.

32. A composition according to any one of claims 26 to 31 which is in the form of a three dimensional article.

20 33. A composition according to any one of claims 26 to 31 which is in the form of a film.

34. A composition according to claim 33 which is in the form of a photographic film.

35. A composition according to any one of claims 26 to 31 which is in the form of a coating composition.

25 36. A composition according to claim 35 which is in the form of a paint or varnish.

37. A composition according to any one of the preceding claims wherein the ingredient which is adversely affected by  $TiO_2$  and/or  $ZnO$  is an ethylenically unsaturated compound or one possessing a labile hydrogen atom.

30 38. A composition according to claim 1 substantially as hereinbefore described.

39. Use of a doped or reduced TiO<sub>2</sub>/ZnO as defined in any one of claims 1 to 6 and 10 to reduce the concentration of one or more organic UV sunscreen agents adversely affected by TiO<sub>2</sub> and/or ZnO in a cosmetic UV screening composition.

40. Use of a doped or reduced TiO<sub>2</sub>/ZnO as defined in any one of claims 1 to 6 and 10 to reduce the rate of loss in UV absorption of a sunscreen composition containing an organic UV sunscreen agent which is adversely affected by TiO<sub>2</sub> and/or ZnO.

41. A method of increasing the effectiveness of an organic UV sunscreen composition which comprises one or more components which are degraded by TiO<sub>2</sub> and/or ZnO which comprises incorporating into the composition a doped or reduced TiO<sub>2</sub>/ZnO as defined in any one of claims 1 to 6 and 10.

42. A method of increasing the UV spectrum of a sunscreen formulation which comprises an organic sunscreen agent which is adversely affected by TiO<sub>2</sub> and/or ZnO which comprises incorporating in the formulation doped TiO<sub>2</sub> and/or doped or reduced ZnO as defined in any one of claims 1 to 6 and 10.

43. A method of reducing the production of a toxic compound in a UV sunscreen composition which contains an ingredient which produces a toxic compound due to the presence of TiO<sub>2</sub> and/or ZnO which comprises incorporating therein doped TiO<sub>2</sub> and/or doped or reduced ZnO as defined in any one of claims 1 and 4 to 7.

44. A method of reducing the adverse effects of TiO<sub>2</sub> and/or ZnO on one or more components of a composition which comprises incorporating in the composition a doped or reduced TiO<sub>2</sub>/ZnO as defined in any one of claims 1 to 6 and 10.

### ABSTRACT

A composition is described which comprises an ingredient which is adversely affected by the presence of  $TiO_2$  and/or  $ZnO$ , and  $TiO_2$  and/or  $ZnO$  which has been 5 doped with another element and/or reduced  $ZnO$ .

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